

**MILLER COLLEGE OF BUSINESS
VITA – AACSB**

PROFESSIONAL BACKGROUND

Name: Anna Liu

Rank: Assistant Professor

Office Address: WB354

Degrees

Ph.D. Degree:

Name of Degree: Ph.D.

Year Conferred: 2017

Degree-Granting Institution: Georgia Institute of Technology

Major Fields of Study: Finance

M.A. Degree:

Name of Degree: M.A.

Year Conferred: 2010

Degree-Granting Institution: University of Miami

Major Fields of Study: Economics

B.A. Degree:

Name of Degree: B.A.

Year Conferred: 2008

Degree-Granting Institution: Renmin University

Major Fields of Study: Management

Professional Certifications

1. "Certified Management Accountant (CMA)". (March 27, 2020).
2. "Chartered Financial Analyst (CFA)". (August 20, 2019).
3. "Financial Risk Manager (FRM)". (July 2, 2019).
4. "Certified Internal Auditor (CIA)". (June 1, 2018).
5. "SAS Certified Advanced Programmer". (June 24, 2013).

SCHOLARLY ACTIVITIES

Refereed Journal Articles

1. Liu, C., Zeng, L., Washam, R., Hu, X. (in press). ESG and Crypto: Unveiling the Impact of Investor Information Acquisition on Cryptocurrency Returns **(Accepted at Journal of Business and Economic Studies, a Finance Department Tier Three Journal.)**. *Journal of Business and Economic Studies, Tier 3. Tier 3/Basic or Discovery Scholarship*, Goal 11 - Sustainable Cities and Communities, Goal 13 - Climate Action, Goal 9 - Industry, Innovation and Infrastructure
2. Liu, C., Fan, M. (2024). Stock Market and the Psychological Health of Investors. *Financial Review, Tier 1. Tier 1/Basic or Discovery Scholarship*, Goal 3 - Good Health and Well Being
3. Liu, C., Fan, M., Athavale, M.V. (2024). Institutional Pressure and Analyst Behavior: Evidence from the Financial Sector. *Financial Review, Tier 1. Tier 1/Basic or Discovery Scholarship*, Goal 12 - Responsible Consumption and Production
4. Yin, P., He, G., Fan, M., Chiu, K.Y., Fan, M., Liu, C., Xue, A., Liu, T., Pan, Y., Mu, Q., Zhou, M. (2017). Particulate air pollution and mortality in 38 of China's largest cities: time series analysis. *the British Medical Journal, 356*, 8. /Basic or Discovery Scholarship
5. Cotton, C., Liu, C. (2011). 100 Horsemen and the empty city: A game theoretic examination of deception in Chinese military legend. *Journal of Peace Research Issues, 48*(2), 217-223. /Basic or Discovery Scholarship

Work-in-Progress

Working Paper

1. Woodroof, P., VanMeter-Brown, R., Liu, C., Houston, R., Maslar, D. *Doing Good Deeds, Sowing Good Seeds: Evidence of Cause-Related Marketing's Findings from the Bond Market*
2. Du, J., Yuan, Y., Liu, C., Gu, Q. *Interaction of Sustainable Finance Development with Regional Environmental Policies for Carbon Neutrality (Current status as of August 2024: Under review at Economic Analysis and Policy)*.
3. Liu, C., Jayaraman, N., Clarke, J. *Assessing the Impact of the Global Research Settlement Expiration*
4. Liu, C., Bennett, J., Watkins, C. *Media Estimates and Stock Market Reaction*
5. Liu, C., Bennett, J. *Text Mining and Payout Policies*
6. Hu, B., Jain, P.K., Liu, C., McInish, T.H. *Do Reg Crowdfunding Startups Offer Better*

*Screening, Signaling, and Deal Structures to Overcome Moral Hazard Leading to Greater Success for Entrepreneurs, Investors, and Society?
(A FMA 2022 conference accepted paper.).*

Presentations at Conferences

1. Hu, B., Liu, C., 2022 Financial Management Association Annual Meeting, "Deal Structure, Platform Design, Gender, and the Success of Crowdfunding," Atlanta. (October 20, 2022). Basic or Discovery Scholarship

Grants

Internal

1. Proposal Number:
Title: Curriculum Innovation Award in Artificial Intelligence (AI) - Miller College of Business
Agency: Center for Professional Selling.
Amount: \$ 1,000.00
Date submitted: September, 2024
Status: Active
Competitive vs. non-competitive: Competitive
Responded to: Request for proposal

Business and Other Professional Experience

1. Ad-Hoc Reviewer for the Financial Review, the European Journal of Finance, and the International Review of Financial Analysis. (2023-).

SERVICE ACTIVITIES

University, College, Departmental Committees

1. College, Business Living Learning Community, Ball State University, Guest Speaker. (September 2024).
2. Department/program, Finance Society, Faculty Mentor. (August 2023 -).
3. Department/program, Ball State University's Admissions and Enrollment Management Office, Volunteer. (April 2024).
4. College, Faculty Development Committee, Committee Member. (August 2020 - May 2021).

5. Department/program, AoL Ethics Committee, Committee Member. (September 2019 - May 2020).
6. Department/program, CFA curriculum ad-hoc committee, Committee Member. (November 2019 - February 2020).

Work History

1. Assistant Professor. (August 15, 2019 - Present).
2. Investment Researcher at Sumitomo Mitsui Asset Management in New York and Tokyo. Sumitomo Mitsui Asset Management is the buy-side arm of Sumitomo Mitsui Financial Group, one of the three mega banks in Japan. (June 1, 2017 - July 31, 2019).

Summary of Intellectual Contributions – AACSB Report

<u>Contribution Type</u>	<u>BDS</u>	<u>AIS</u>	<u>TLS</u>	<u>Total</u>
<i>Refereed Journal Articles (PRJ)</i>	5	0	0	5
<i>Books/Monographs (Mono)</i>	0	0	0	0
<i>Conference Proceedings in Scholarly Meetings (Proc)</i>	0	0	0	0
<i>Presentations at Conferences</i>	1	0	0	1
<i>Professional Presentations (Pres)</i>	0	0	0	0
<i>Grants</i>	0	0	1	1
<i>Textbooks</i>	0	0	0	0
<i>Conferences and Meetings attended</i>	0	0	0	0
<i>Professional Development and Participation</i>	0	0	1	1
<i>Consulting</i>	0	0	0	0